

**ORS AUDIT REQUEST FORM****Please acknowledge receipt by E-Mail**

DATE: December 12, 2018

TO: Mary Jo Brown

UTILITY: Duke Energy Progress
Docket 2018-318-E

FROM: Cody Kleckley

AUDIT PURPOSE: Amortize Rate Case Costs – Adj. #25

Pursuant to S.C. Code Ann. §§ 58-4-55 (Supp. 2018), 58-27-160 (2015), §§ 58-33-230(F), 58-33-277(B), 58-27-1560, 58-27-1570, 58-27-1580, 58-27-160, and 58-27-190 (2015), the South Carolina Office of Regulatory Staff hereby makes the following request(s):

REQUEST THE FOLLOWING ITEMS BE PROVIDED BY: AS AVAILABLE

1. Please provide actual Rate Case Expenses, for the current case, as they become available.
Please include a summary listing of expenses, copies of all invoices and proof of payment.

Thank you,

Cody Kleckley
(803)-737-0864
ckleckley@regstaff.sc.gov

Confidential - DEP QRS Audit 14-1
DEP Legal Invoices

Header Information

Invoice Number: 92138882
Vendor: McGuire Woods LLP
Invoice Date: 7/31/18
Received Date: 8/16/18
Project: DEP-SC 2018 Rate Case-2017-LIT-009240
Posting Status: Posted
Warnings: None

Billing Start Date: 6/1/18
Billing End Date: 6/30/18
Submitted Total: 2,822.00
Submitted Currency: USD
Tax Rate: 0.00%
Line Item Warnings: None

Description

Invoice Summary

Type	Rate x Unit	Discount	Adjustment	Tax	Amount
Fees		\$2,822.00	\$0.00	\$0.00	\$2,822.00
Expenses		\$0.00	\$0.00	\$0.00	\$0.00
Invoice Total (USD)		\$2,822.00	\$0.00	\$0.00	\$2,822.00

Account Codes

Business Unit 1	50128-PE Cardinas Other	Responsibility Center 1	6991-SC State Regulatory	Process 1	SCRTCSE-SC Rate Case	Project 1	
Activity 1		GL Account 1	0186195-Deferred PEC Rate Case Expense	Operating Unit 1	NCRP-Cardinas Rates-DEP	Resource Type 1	69000-Contract/Outside Services (was 63000)
Allocation % 1	100	Allocated Amount 1	\$2,822.00				

**ORS AUDIT REQUEST FORM****Please acknowledge receipt by E-Mail****DATE:** January 3, 2019**TO:** Mary Jo Brown**UTILITY:** Duke Energy Progress
Docket 2018-318-E**FROM:** Cody Kleckley**AUDIT PURPOSE:** Follow up to audit request #14 – Adj. #25 Rate Case Expenses

Pursuant to S.C. Code Ann. §§ 58-4-55 (Supp. 2018), 58-27-160 (2015), §§ 58-33-230(F), 58-33-277(B), 58-27-1560, 58-27-1570, 58-27-1580, 58-27-160, and 58-27-190 (2015), the South Carolina Office of Regulatory Staff hereby makes the following request(s):

REQUEST THE FOLLOWING ITEMS BE PROVIDED BY: 1/11/19

1. Please provide actual copies of invoices for the legal invoices listed in the “DEP ORS 14-1 Legal Invoices” workbook that was provided by the company in response to audit request #14.
2. Please provide the following regarding vendor “Guidant Group Inc” contained in the workbook “DEP ORS Audit 14-1” provided by the company for audit request #14:
 - Contract(s) for services
 - Hourly Rates for persons listed (Greenfield Abbe, Davis Ann, etc...)
3. Please determine if any of the employees and their related labor cost listed in workbook “DEP ORS Audit 14-1 DEP Internal Labor hours” were included in O&M salaries.

Thank you,

Cody Kleckley
(803)-737-0864
ckleckley@regstaff.sc.gov

DUKE ENERGY PROGRESS

Request:

- 28-1 Please provide actual copies of invoices for the legal invoices listed in the “DEP ORS 14-1 Legal Invoices” workbook that was provided by the company in response to audit request #14.

Response:

The legal invoices are all electronic invoices. The firm submits data electronically through an ebilling platform to our matter management system (TeamConnect through September, replaced on 10/1/18 with Passport). There are not any paper or actual invoices to send.

**ORS AUDIT REQUEST FORM****Please acknowledge receipt by E-Mail**

DATE: January 14, 2019

TO: Laura Bateman
Mary Jo Brown

UTILITY: Duke Energy Progress
Docket 2018-318-E

FROM: Cody Kleckley

AUDIT PURPOSE: Follow-up to audit request #14 and #28 – Adj. #25 Rate Case Expenses

Pursuant to S.C. Code Ann. §§ 58-4-55 (Supp. 2018), 58-27-160 (2015), §§ 58-33-230(F), 58-33-277(B), 58-27-1560, 58-27-1570, 58-27-1580, 58-27-160, and 58-27-190 (2015), the South Carolina Office of Regulatory Staff hereby makes the following request(s):

REQUEST THE FOLLOWING ITEMS BE PROVIDED BY: 1/21/19

The following samples were pulled from the companies' response in audit request #14.

1. Please provide data submitted by firms regarding legal invoices in the attached sample (Legal Invoice Sample), to include: billing rates, billed time, and a description of services provided.
2. Please provide timesheets and a detailed description of the work performed for the items in the attached sample (Guidant Sample).

Thank you,

Cody Kleckley
(803)-737-0864
ckleckley@regstaff.sc.gov

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38.1 DEP Please provide data submitted by firms regarding legal invoices in the attached sample (Legal Invoice Sample), to include: billing rates, billed time, and a description of services provided.

Item #	Vendor Name ID	Resource Type	Invoice ID	Voucher ID	Journal Line Desc ID	Transaction Date ID	Sum of Monetary Amount ID	Matter Name	Invoice Description
1	DISCOVERREADY LLC	Consultant	11 18070386	11102503	2018-1764	11/26/2018	3,331.00	DEP SC 2018 Rate Case (Coal Ash)	Discoverready maintains and administers the Relativity Database production tool that we use to make all coal ash-related documents and discovery available to the ORS coal ash consultant GDS in categorized, text-searchable format.
2	GDS ASSOCIATES INC	Consultant	1 01558581	10918554	2017-4IT-009240	1/30/2018	2,393.12	DEP-SC 2018 Rate Case	Expenses paid for ORS' coal ash consultants.
3	MCGUIRE WOODS LLP	Consultant	8 92138882	10548381	2017-4IT-009240	8/21/2018	2,822.00	DEP-SC 2018 Rate Case	Outside counsel legal fees and costs for legal support, consultation and advice on coal ash matters and issues in the DEC and DEP rate cases.
4	MCGUIRE WOODS LLP	Consultant	12 92177422	11111218	2018-1764	12/6/2018	35,958.72	DEP SC 2018 Rate Case (Coal Ash)	Outside counsel legal fees and costs for legal support, consultation and advice on coal ash matters and issues in the DEC and DEP rate cases. Consultation and expert analysis and reports regarding our proposed grid improvement plan and related to economic impact studies and cost/benefit analysis.
5	RESH MARKETING CONSULTANTS INC	Consultant	12 12021	11113014	2018-0103	12/10/2018	4,500.00	DEP-SC 2018 Rate Case	Research, drafting, counsel and advice in preparing materials necessary for rate case filing.
6	ROBINSON GRAY STEPP & LAFFITTE LLC	Consultant	10 107875	11079294	2018-0103	10/24/2018	555.00	DEP-SC 2018 Rate Case	Outside legal fees and costs for legal support, consultation, and advice on nuclear matters and issues in the two pending rate cases as well as overall legal support for discovery, productions, and case filings.
7	TROUTMAN SANDERS LLP	Consultant	8 2016702	10548385	2017-4IT-009240	8/21/2018	1,001.10	DEP-SC 2018 Rate Case	Outside legal fees and costs for legal support, consultation, and advice on nuclear matters and issues in the two pending rate cases as well as overall legal support for discovery, productions, and case filings.
8	TROUTMAN SANDERS LLP	Consultant	11 2050572	11094435	2018-0103	11/13/2018	32,073.70	DEP-SC 2018 Rate Case	Outside legal fees and costs for legal support, consultation, and advice on nuclear matters and issues in the two pending rate cases as well as overall legal support for discovery, productions, and case filings.

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Invoice Number	Matter Number	Rate	Units
92138882	2017-LIT-009240	\$ 415.00	0.5
92138882	2017-LIT-009240	\$ 415.00	0.4
92138882	2017-LIT-009240	\$ 415.00	0.3
92138882	2017-LIT-009240	\$ 415.00	0.3
92138882	2017-LIT-009240	\$ 415.00	0.2
92138882	2017-LIT-009240	\$ 415.00	0.7
92138882	2017-LIT-009240	\$ 415.00	0.2
92138882	2017-LIT-009240	\$ 415.00	0.6
92138882	2017-LIT-009240	\$ 415.00	0.4
92138882	2017-LIT-009240	\$ 415.00	1.6
92138882	2017-LIT-009240	\$ 415.00	0.3
92138882	2017-LIT-009240	\$ 415.00	0.4
92138882	2017-LIT-009240	\$ 415.00	0.5
92138882	2017-LIT-009240	\$ 415.00	0.3
92138882	2017-LIT-009240	\$ 415.00	0.1